

# Syntax-Directed Translation

ALSU Textbook Chapter 5.1–5.4, 4.8, 4.9

Tsan-sheng Hsu

*tshsu@iis.sinica.edu.tw*

<http://www.iis.sinica.edu.tw/~tshsu>

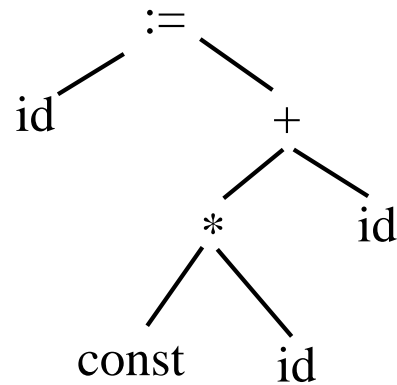
# What is syntax-directed translation?

## ■ Definition:

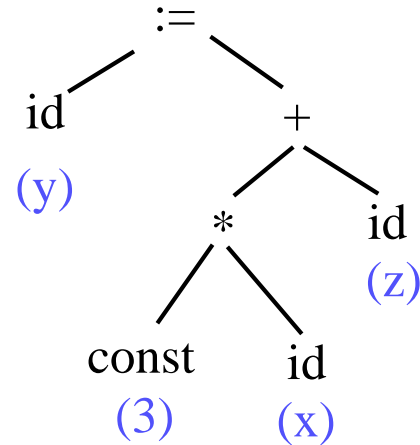
- The compilation process is driven by the syntax.
- The semantic routines perform interpretation based on the syntax structure.
- Attaching **attributes** to the grammar symbols.
- Values for attributes are computed by **semantic actions** associated with the grammar productions.

# Example: Syntax-directed translation

- Example in a parse tree:
  - Annotate the parse tree by attaching semantic attributes to the nodes of the parse tree.
  - Generate code by visiting nodes in the parse tree in a given order.
  - Input:  $y := 3 * x + z$



parse tree



annotated parse tree

# Syntax-directed definitions

- Each grammar symbol is associated with a set of attributes.
  - **Synthesized attribute** : value computed from its children or associated with the meaning of the tokens.
  - **Inherited attribute** : value computed from parent and/or siblings.
  - **General attribute** : value can be depended on the attributes of any nodes.

# Format for writing syntax-directed definitions

Production	Semantic actions
$L \rightarrow E$	$\text{print}(E.val)$
$E \rightarrow E_1 + T$	$E.val := E_1.val + T.val$
$E \rightarrow T$	$E.val := T.val$
$T \rightarrow T_1 * F$	$T.val := T_1.val * F.val$
$T \rightarrow F$	$T.val := F.val$
$F \rightarrow (E)$	$F.val := E.val$
$F \rightarrow digit$	$F.val := digit.lexval$

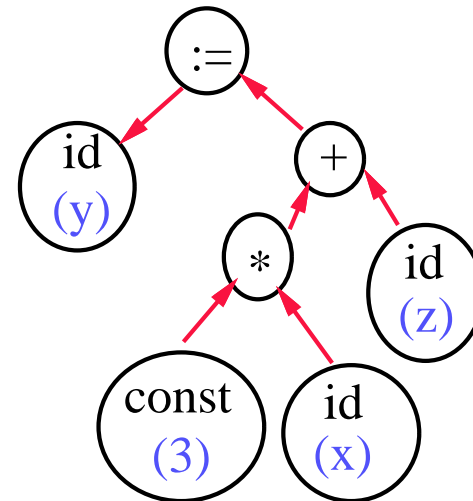
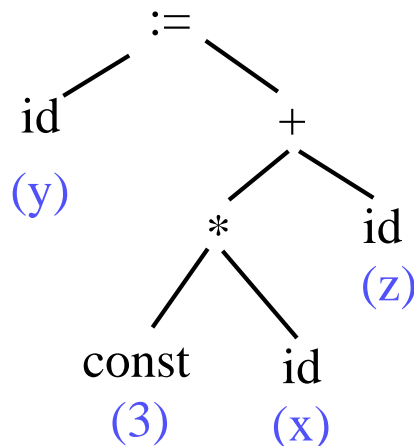
- $E.val$  is one of the attributes of  $E$ .
- To avoid confusion, recursively defined nonterminals are numbered on the RHS.
- Semantic actions are performed when this production is “used”.

# Order of evaluation (1/2)

- Order of evaluating attributes is important.
- General rule for ordering:

- **Dependency graph :**

- ▷ *If attribute  $b$  needs attributes  $a$  and  $c$ , then  $a$  and  $c$  must be evaluated before  $b$ .*
- ▷ *Represented as a directed graph without cycles.*
- ▷ *Topologically order nodes in the dependency graph as  $n_1, n_2, \dots, n_k$  such that there is no path from  $n_i$  to  $n_j$  with  $i > j$ .*



# Order of evaluation (2/2)

- It is always possible to rewrite syntax-directed definitions using only synthesized attributes, but the one with inherited attributes is easier to understand.

- Use inherited attributes to keep track of the type of a list of variable declarations.

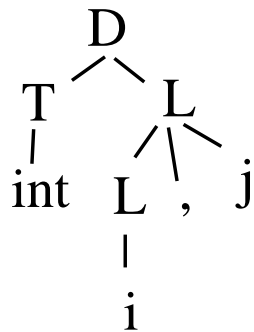
▷ *Example: int i, j*

- **Grammar 1: using inherited attributes**

▷  $D \rightarrow TL$

▷  $T \rightarrow \text{int} \mid \text{char}$

▷  $L \rightarrow L, id \mid id$

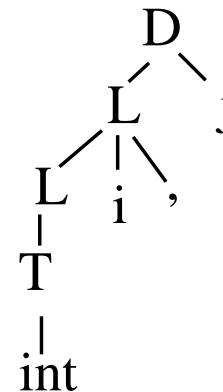


- **Grammar 2: using only synthesized attributes**

▷  $D \rightarrow L id$

▷  $L \rightarrow L id, \mid T$

▷  $T \rightarrow \text{int} \mid \text{char}$



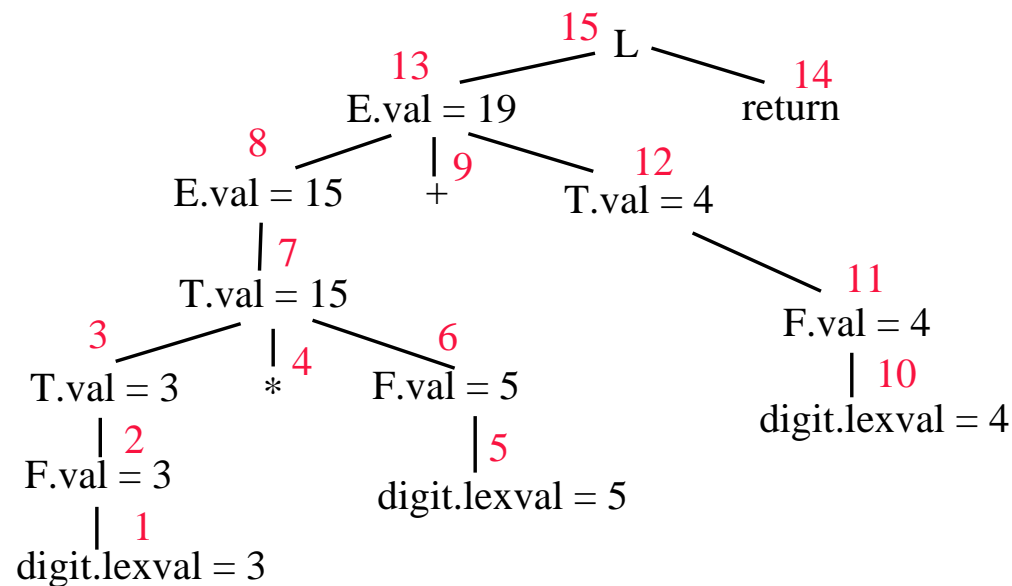
# Attribute grammars

- **Attribute grammar:** a grammar with syntax-directed definitions and having no **side effects**.
  - Side effect: change values of others not related to the return values of functions themselves.
- **Tradeoffs:**
  - Synthesized attributes are easy to compute, but are sometimes difficult to be used to express semantics.
    - ▷ *S-attributes*.
  - Inherited and general attributes are difficult to compute, but are sometimes easy to express the semantics.
  - The dependence graph for computing some inherited and general attributes may contain cycles and thus not be computable.
  - A restricted form of inherited attributes is invented.
    - ▷ *L-attributes*.

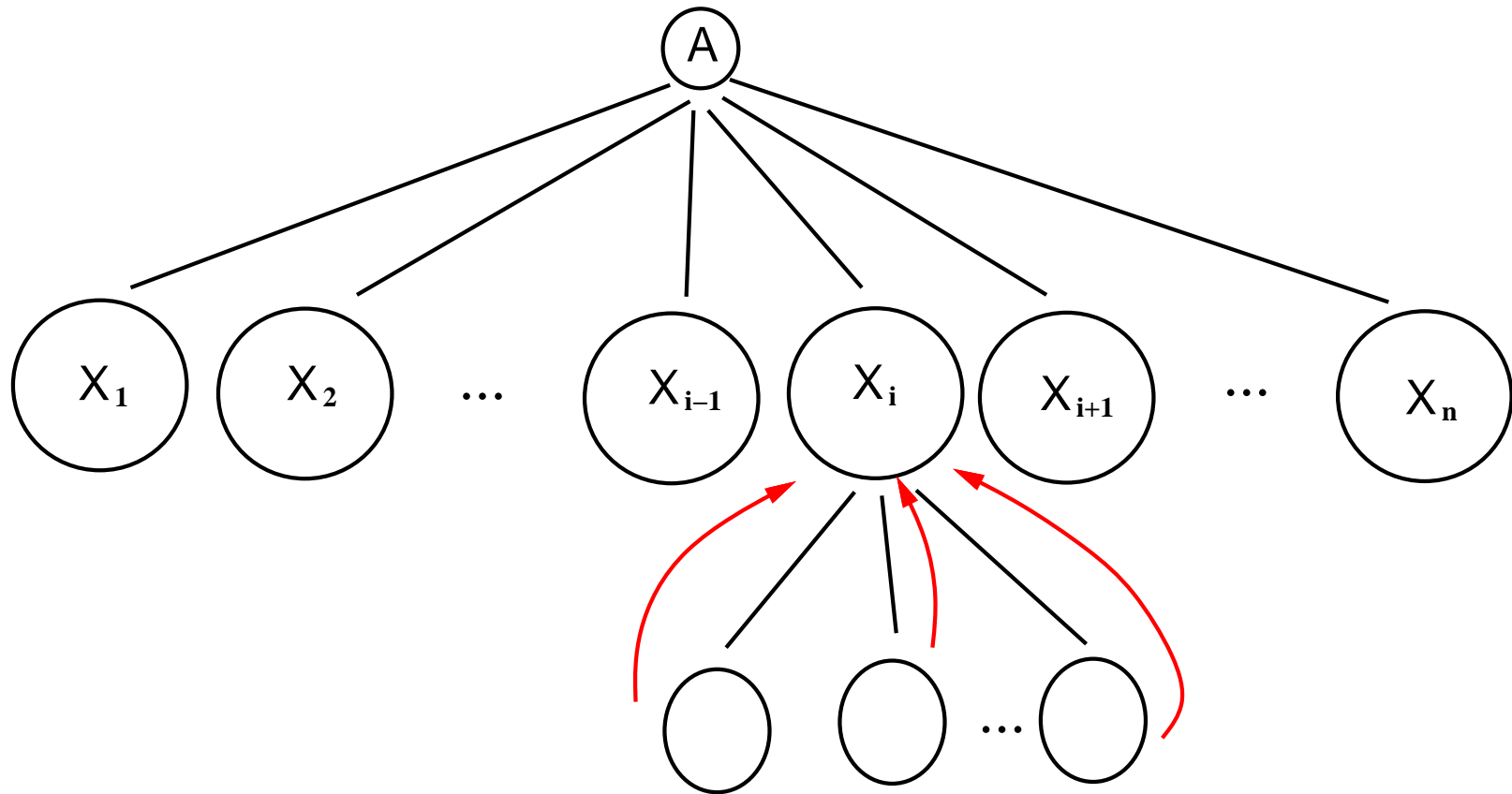


# $S$ -attributed definition

- **Definition:** a syntax-directed definition that uses synthesized attributes only.
  - A parse tree can be represented using a directed graph.
  - A **post-order** traverse of the parse tree can properly evaluate grammars with  $S$ -attributed definitions.
  - Goes naturally with  $LR$  parsers.
- **Example of an  $S$ -attributed definition:**  $3 * 5 + 4$  return



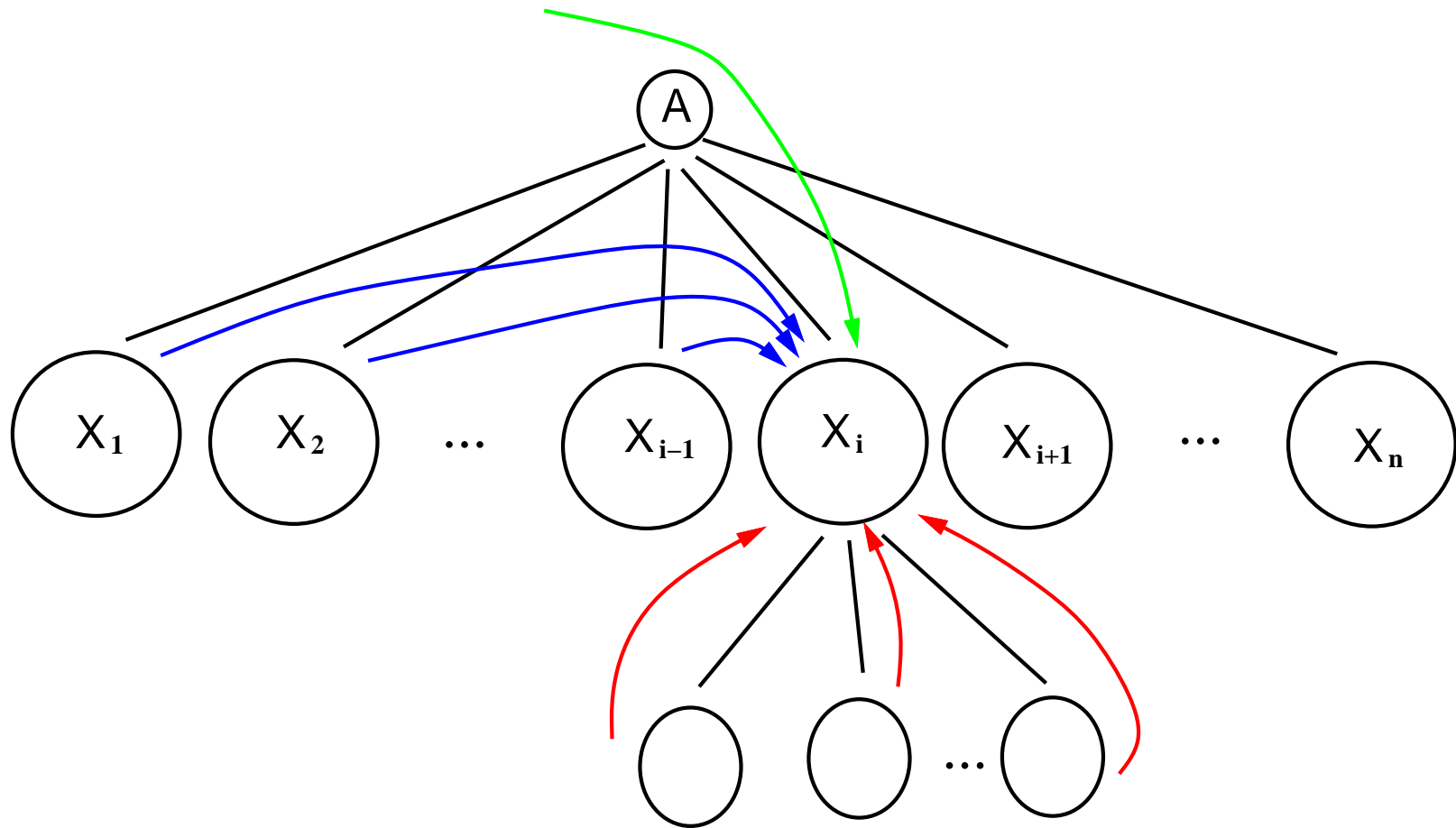
# Illustration: $S$ -attributed definition



# $L$ -attributed definitions

- Each grammar symbol can have many attributes. However, each attribute must be either
  - a synthesized attribute, or
  - an inherited attribute with the following constraints.  
Assume there is a production  $A \rightarrow X_1X_2 \cdots X_n$  and the inherited attribute is associated with  $X_i$ . Then this inherited attribute depends only on
    - ▷ *the inherited attributes of its parent node  $A$ ;*
    - ▷ *either inherited or synthesized attributes from its elder siblings  $X_1, X_2, \dots, X_{i-1}$ ;*
    - ▷ *inherited or synthesized attributed associated from itself  $X_i$ , but only in such a way that there are no cycles in a dependency graph formed by the attributes of this  $X_i$ .*
- Every  $S$ -attributed definition is an  $L$ -attributed definition.

# Illustration: $L$ -attributed definition



# Evaluations of $L$ -attributed definitions

- For grammars with  $L$ -attributed definitions, special evaluation algorithms must be designed.
- $L$ -attributes are always computable.
  - Similar arguments as the one used in discussing Algorithm 4.19 for removing left recursion.
- Evaluation of  $L$ -attributed grammars.
  - Goes together naturally with  $LL$  parsers.
    - ▷ *Parse tree generate by recursive descent parsing corresponds naturally to a top-down tree traversal using DFS by visiting the sibling nodes from left to right.*
- High level ideas for tree traversal.
  - Visit a node  $v$  first.
    - ▷ *Compute inherited attributes for  $v$  if they do not depend on synthesized attributes of  $v$ .*
  - Recursively visit each children of  $v$  one by one from left to right.
  - Visit the node  $v$  again.
    - ▷ *Compute synthesized attributes for  $v$ .*
    - ▷ *Compute inherited attributes for  $v$  if they depend on synthesized attributes of  $v$ .*

# Format for writing $L$ -attributed definitions

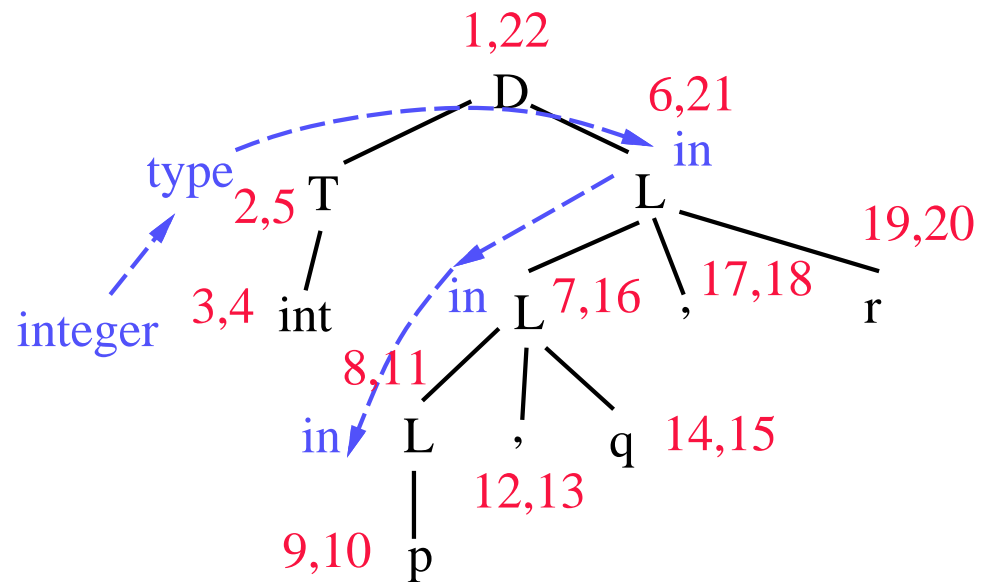
- $D \rightarrow T \{L.in := T.type\} L$
  - $T \rightarrow int \{T.type := integer\}$
  - $T \rightarrow real \{T.type := real\}$
  - $L \rightarrow \{L_1.in := L.in\} L_1, id \{addtype(id.entry, L.in)\}$
  - $L \rightarrow id \{addtype(id.entry, L.in)\}$
- **Some semantic actions can be inserted between symbols on the RHS of a production.**
- $A \rightarrow B \{action\} C$
  - **When  $A$  expands to  $B$  and  $C$ , after finishes expanding  $B$ , performs  $action$ , then expands  $C$ .**

# Example: $L$ -attributed definitions

- $D \rightarrow T \{L.in := T.type\} L$
- $T \rightarrow int \{T.type := integer\}$
- $T \rightarrow real \{T.type := real\}$
- $L \rightarrow \{L_1.in := L.in\} L_1, id \{addtype(id.entry, L.in)\}$
- $L \rightarrow id \{addtype(id.entry, L.in)\}$

## ■ Parsing and dependency graph:

STACK	input	production used
	<b>int</b> <i>p, q, r</i>	
<i>D</i>	<b>int</b> <i>p, q, r</i>	
<i>L T</i>	<b>int</b> <i>p, q, r</i>	$D \rightarrow TL$
<i>L int</i>	<b>int</b> <i>p, q, r</i>	$T \rightarrow int$
<i>L</i>	<i>p, q, r</i>	
<i>id, L</i>	<i>p, q, r</i>	$L \rightarrow L, id$
<i>id, id, L</i>	<i>p, q, r</i>	$L \rightarrow L, id$
<i>id, id, id</i>	<i>p, q, r</i>	$L \rightarrow id$
<i>id, id</i>	<i>q, r</i>	
<i>id</i>	<i>q</i>	



# Problems with $L$ -attributed definitions

## ■ Comparisons:

- $L$ -attributed definitions go naturally with  $LL$  parsers.
- $S$ -attributed definitions go naturally with  $LR$  parsers.
- $L$ -attributed definitions are more flexible than  $S$ -attributed definitions.
- $LR$  parsers are more powerful than  $LL$  parsers.

## ■ Some cases of $L$ -attributed definitions cannot be in-cooperated into $LR$ parsers.

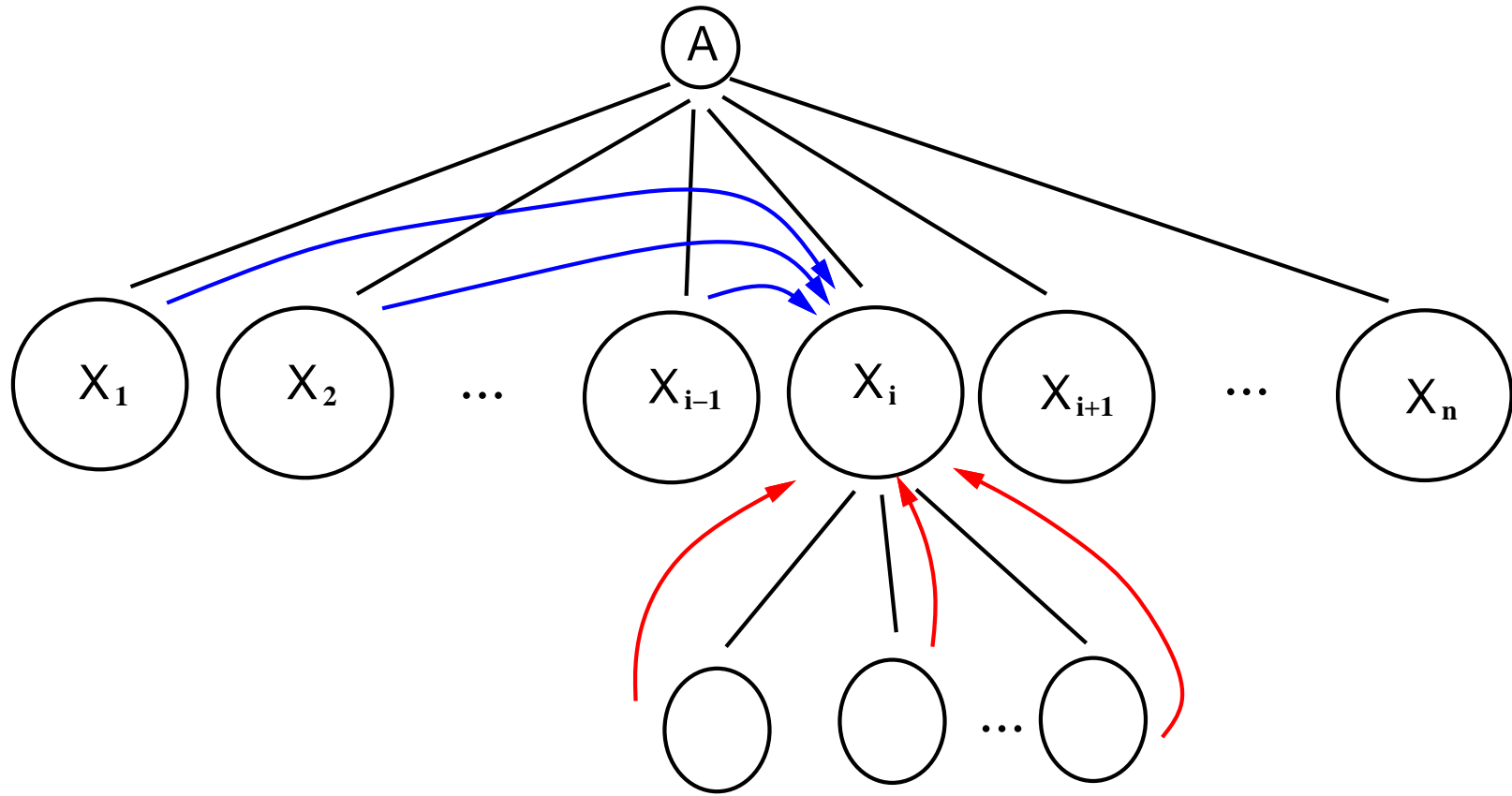
- Assume the next handle to take care is  $A \rightarrow X_1X_2 \cdots X_i \cdots X_k$ , and  $X_1, \dots, X_i$  is already on the top of the **STACK**.
- Attribute values of  $X_1, \dots, X_{i-1}$  can be found on the **STACK** at this moment.
- No information about  $A$  can be found anywhere at this moment.
- Thus the attribute values of  $X_i$  cannot be depended on the value of  $A$ .

## ■ $L^-$ -attributed definitions:

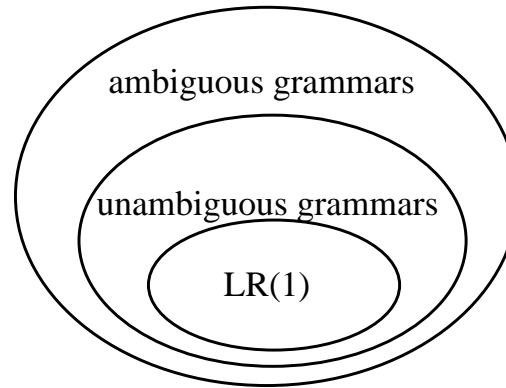
- Same as  $L$ -attributed definitions, but **do not** depend on
  - ▷ *the inherited attributes of parent nodes, or*
  - ▷ *any attributes associated with itself.*
- Can be handled by  $LR$  parsers.



# Illustration: $L^-$ -attributed definition



# Using ambiguous grammars



- **Ambiguous grammars often provide a shorter, more natural specification than their equivalent unambiguous grammars.**
- **Sometimes need ambiguous grammars to specify important language constructs.**
  - **Example: declare a variable before its usage.**

```
var xyz : integer
begin
    ...
    xyz := 3;
    ...
```

- **Use symbol tables to create “side effects.”**

# Ambiguity from precedence and associativity

- Precedence and associativity are important language constructs.

- Example:

- $G_1$ :

- ▷  $E \rightarrow E + E \mid E * E \mid (E) \mid id$

- ▷ *Ambiguous, but easy to understand and maintain!*

- $G_2$ :

- ▷  $E \rightarrow E + T \mid T$

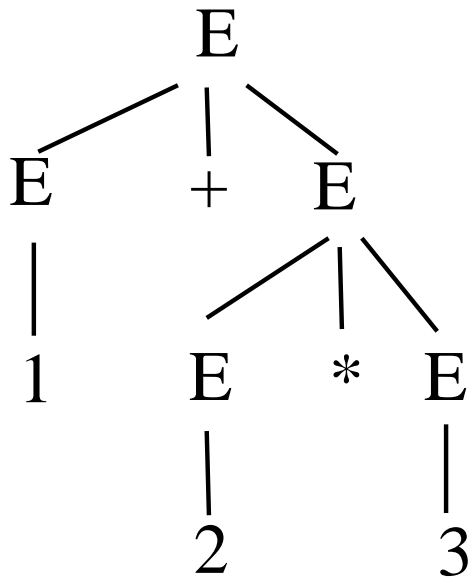
- ▷  $T \rightarrow T * F \mid F$

- ▷  $F \rightarrow (E) \mid id$

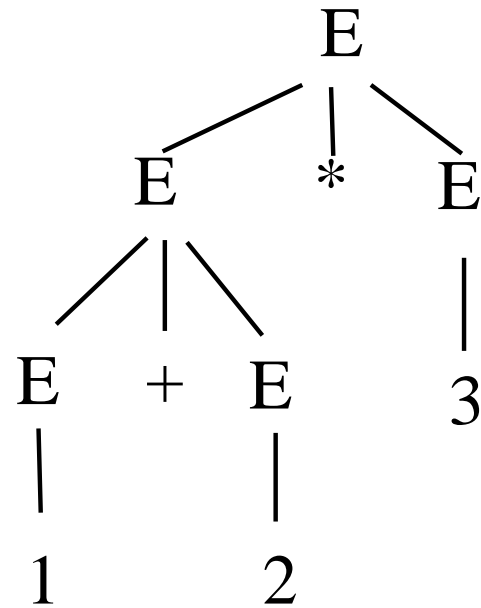
- ▷ *Unambiguous, but difficult to understand and maintain!*

# Illustration: using ambiguous grammars

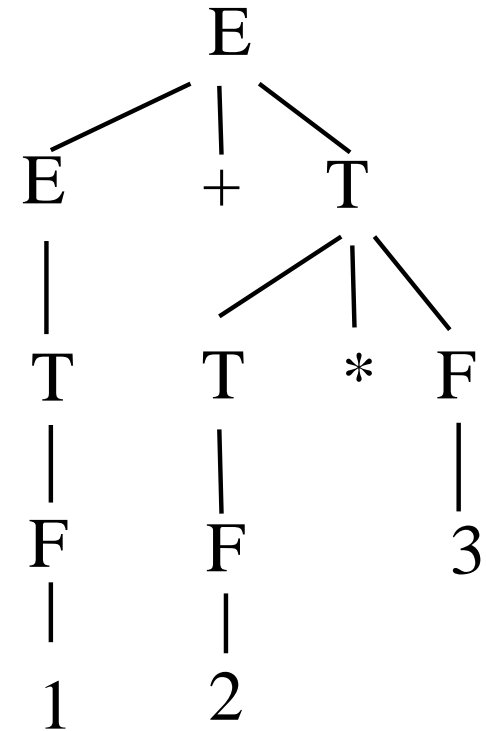
Input:  $1+2*3$



Parse tree#1:  $G_1$



Parse tree#2:  $G_1$



Parse tree:  $G_2$

# Deal with precedence and associativity

- When parsing the following input for  $G_1$ :  $id + id * id$ .
  - Assume the input parsed so far is  $id + id$ .
  - We now see “\*”.
  - We can either shift or perform “reduce by  $E \rightarrow E + E$ ”.
  - When there is a conflict, say in  $LALR(1)$  parsing, we use precedence and associativity information to resolve conflicts.
    - ▷ *Here we need to shift because of seeing a higher precedence operator.*
- Need a mechanism to let user specify what to do when a conflict is seen based on the viable prefix on the STACK so far and the token currently encountered.

# Ambiguity from dangling-else

## ■ Grammar:

- $\text{Statement} \rightarrow \text{Other\_Statement}$ 
  - | *if* **Condition** *then* **Statement**
  - | *if* **Condition** *then* **Statement** *else* **Statement**

## ■ When seeing

*if* **C** *then* **S** *else* **S**

- there is a shift/reduce conflict,
  - we always favor a shift.
  - Intuition: favor a longer match.
- ## ■ Need a mechanism to let user specify the default conflict-handling rule when there is a shift/reduce conflict.

# Special cases

## ■ Ambiguity from special-case productions:

- Sometime a very rare happened special case causes ambiguity.
- It is too costly to revise the grammar. We can resolve the conflicts by using special rules.
- Example:
  - ▷  $E \rightarrow E \text{ sub } E \text{ sup } E$
  - ▷  $E \rightarrow E \text{ sub } E$
  - ▷  $E \rightarrow E \text{ sup } E$
  - ▷  $E \rightarrow \{E\} \mid \text{character}$
- Meanings:
  - ▷  $W \text{ sub } U: W_U.$
  - ▷  $W \text{ sup } U: W^U.$
  - ▷  $W \text{ sub } U \text{ sup } V \text{ is } W_U^V, \text{ not } W_U^V.$
- Resolve by semantic and special rules.
- Pick the right one when there is a reduce/reduce conflict.
  - ▷ *Reduce the production listed earlier.*
- Need a mechanism to let user specify the default conflict-handling rule when there is a reduce/reduce conflict.

# Implementation

- Passing of synthesized attributes is best.
  - Without using global variables.
- Cannot use information from its younger siblings because of the limitation of  $LR$  parsing.
  - During parsing, the STACK contains information about the elder siblings.
- It is difficult and usually impossible to pass information from its parent node.
  - May be possible to use the state information to pass some information.
- Some possible choices:
  - Build a parse tree first, then evaluate its semantics.
  - Parse and evaluate the semantic actions on the fly.
- YACC, an  $LALR(1)$  parser generator, can be used to implement  $L^-$ -attributed definitions.
  - Use top of STACK information to pass synthesized attributes.
  - Use global variables and internal STACK information to pass the inherited values from its elder siblings.
  - **Cannot** process inherited values from its parent.



# YACC

## ■ Yet Another Compiler Compiler [Johnson 1975]:

- A UNIX utility for generating *LALR*(1) parsing tables.
- Convert your YACC code into C programs.

- `file.y` → `yacc file.y` → `y.tab.c`

- `y.tab.c` → `cc y.tab.c -ly -ll` → `a.out`

## ■ Format:

- declarations
- `%%`
- grammars and semantic actions.
- `%%`
- supporting C-routines.

## ■ Libraries:

- Assume the lexical analyzer routine is *yylex()*.
  - ▷ *Need to include the scanner routines.*
- There is a parser routine *yyparse()* generated in *y.tab.c*.
- Default *main* routines both in LEX and YACC libraries.
  - ▷ *Need to search YACC library first.*

# YACC code example (1/2)

```
%{  
#include <stdio.h>  
#include <ctype.h>  
#include <math.h>  
#define YYSTYPE int /* integer type for YACC stack */  
  
%}  
  
%token NUMBER ERROR '(' ')' '  
%left '+' '-' '  
%left '*' '/' '  
%right UMINUS  
  
%%
```

# YACC code example (2/2)

```
lines    : lines expr '\n'          {printf("%d\n", $2);}
| lines '\n'
| /* empty, i.e., epsilon */
| lines error '\n' {yyerror("Please reenter:");yyerrok;}
;

expr     : expr '+' expr          { $$ = $1 + $3; }
| expr '-' expr                  { $$ = $1 - $3; }
| expr '*' expr                  { $$ = $1 * $3; }
| expr '/' expr                  { $$ = $1 / $3; }
| '(' expr ')'                   { $$ = $2; }
| '-' expr %prec UMINUS          { $$ = - $2; }
| NUMBER                          { $$ = atoi(yttext);}
;
```

%%

```
#include "lex.yy.c"
```

# Included LEX program

```
%{
%}
Digit      [0-9]
IntLit     {Digit}+
%%
[ \t] { /* skip white spaces */}
[\n] {return('\n');}
{IntLit}      {return(NUMBER);}
"+"          {return('+');}
"_"         {return('-');}
"*"         {return('*');}
"/"         {return('/');}
"("         {return('(');}
")"         {return(')');}
.           {printf("error token <%s>\n",yytext); return(ERROR);}
%%
```

# YACC: Declarations

- **System used and C language declarations.**
  - ▷ *%{ ... %}* to enclose C declarations.
  - ▷ *Type of attributes associated with each grammar symbol on the STACK: YYSTYPE declaration.*
  - ▷ *This area will not be translated by YACC.*
- **Tokens with associativity and precedence assignments.**
  - ▷ *In increasing precedence from top to the bottom.*
  - ▷ *%left, %right or %token (non-associativity): e.g., dot products of vectors has no associativity.*
- **Other declarations.**
  - ▷ *%type*
  - ▷ *%union*
  - ▷ *...*

# YACC: Productions and semantic actions

- **Format:** for productions  $P$  with a common LHS
  - ▷ *<common LHS of P>: <RHS<sub>1</sub> of P> { semantic actions # 1}*
  - ▷ *|<RHS<sub>2</sub> of P> { semantic actions # 2}*
  - ▷ ...
- The semantic actions are performed, i.e., C routines are executed, when this production is reduced.
- **Special symbols and usages.**
  - Accessing attributes associated with grammar symbols:
    - ▷ *\$\$: the return value of this production if it is reduced.*
    - ▷ *\$i: the returned value of the  $i$ th symbol in the RHS of the production.*
  - %prec declaration.
- **When there are ambiguities:**
  - reduce/reduce conflict: favor the one listed first.
  - shift/reduce conflict: favor shift, i.e., longer match.
  - Q: How to implement this?

# YACC: Error handling

- **Example:** `lines: error '\n' {...}`
  - ▷ *When there is an error, skip until newline is seen.*
- ***error*: special nonterminal.**
  - ▷ *A production with *error* is “inserted” or “processed” only when it is in the reject state.*
  - ▷ *It matches any sequence on the STACK as if the handle “*error* → ...” is seen.*
  - ▷ *Use a special token to immediately follow *error* for the purpose of skipping until something special is seen.*
  - ▷ *Q: How to implement this?*
- **Use *error* to implement **statement terminators** in language designs.**
  - ▷ *The token after *error* is a synchronizing token for panic mode recovery.*
  - ▷ *Difficult to implement **statement separators** using *error*.*
- ***yyerrok*: a macro to reset error flags and make *error* invisible again.**
- ***yyerror(string)*: pre-defined routine for printing error messages.**

# In-production actions

- Actions can be inserted in the middle of a production, each such action is treated as a nonterminal.

- Example:

```
expr      :  expr {actions} '+' expr {$$ = $1 + $4; }  
is translated into
```

```
expr      :  expr $ACT '+' expr {$$ = $1 + $4;}  
$ACT     :  {actions}
```

- ▷ *Split a production into two.*
- ▷ *Create a nonterminal \$ACT and an  $\epsilon$ -production.*

- Avoid in-production actions.

- An  $\epsilon$ -production, e.g.,  $A \rightarrow \epsilon$ , can easily generate conflicts.
  - ▷ *A reduce by “ $A \rightarrow \cdot$ ” for states including this item.*

- Split the production yourself.

- ▷ *May generate some conflicts.*
- ▷ *May be difficult to specify precedence and associativity.*
- ▷ *May change the parse tree and thus the semantic.*

```
expr      :  exprhead exptail {$$ = $1 + $2;}  
exprhead :  expr { perform some semantic actions; $$ = $1;}  
exptail  :  '+' expr {$$ = $2;}
```



# Some useful YACC programming styles

- Keep the RHS of a production short, but not too short.
  - Better to have 3 to 4 symbols.
- Language issues.
  - Avoiding using names starting with “\$”.
    - ▷ *YACC auto-generated variable names.*
  - Watch out C-language rules.
    - ▷ *goto*
  - Some C-language reserved words are used by YACC.
    - ▷ *union*
  - Some YACC pre-defined routines are macros, not procedures.
    - ▷ *yerror*
- Rewrite the productions with *L*-attributed definitions to productions with *S*-attributed definitions.
  - Grammar 1:  $\text{Array} \rightarrow \text{id} [ \text{Elist} ]$
  - Grammar 2:
    - ▷  $\text{Array} \rightarrow \text{Aelist} ]$
    - ▷  $\text{Aelist} \rightarrow \text{Aelist}, \text{id} \mid \text{Ahead}$
    - ▷  $\text{Ahead} \rightarrow \text{id} [ \text{id}$

# Limitations of syntax-directed translation

- **Limitation of syntax-directed definitions:** Without using global data to create side effects, some of the semantic actions cannot be performed.
- **Examples:**
  - Checking whether a variable is defined before its usage.
  - Checking the type and storage address of a variable.
  - Checking whether a variable is used or not.
  - Need to use a **symbol table** : global data to create controlled side effects of semantic actions.
- **Common approaches in using global variables:**
  - A program with too many global variables is difficult to understand and maintain.
  - Restrict the usage of global variables to essential ones and use them as objects.
    - ▷ *Symbol table.*
    - ▷ *Labels for GOTO's.*
    - ▷ *Forwarded declarations.*
  - Tradeoff between ease of coding and ease of maintaining.